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(54) Title: DRUG CONJUGATES AND THEIR USE FOR TREATING CANCER, AN AUTOIMMUNE DISEASE OR AN IN-**FECTIOUS DISEASE**

(57) Abstract: Drug-Linker-Ligand Conjugates are disclosed in which a Drug is linked to a Ligand via a peptide-based Linker unit. In one embodiment, the Ligand is an Antibody. Drug-Linker compounds and Drug compounds are also disclosed. Methods for treating cancer, an autoimmune disease or an infectious disease using the compounds and compositions of the invention are also disclosed.



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AMENDED CLAIMS

received by the International Bureau on 16 June 2004: claims 1,5, 7 are amended, 93-119 are added, claims 2-4,6 and 8-92 are unchanged.

R⁶ is selected from -H and -C₁-C₈ alkyl;

 R^7 is selected from -H, -C₁-C₈ alkyl, -C₃-C₈ carbocycle, -O-(C₁-C₈ alkyl), -aryl, -C₁-C₈ alkyl-aryl, -C₁-C₈ alkyl-(C₃-C₈ carbocycle), -C₃-C₈ heterocycle and -C₁-C₈ alkyl-(C₃-C₈ heterocycle);

each R^8 is independently selected from -H, -OH, -C₁-C₈ alkyl, -C₃-C₈ carbocycle and -O-(C₁-C₈ alkyl);

R⁹ is selected from -H and -C₁-C₈ alkyl;

R¹⁰ is selected from

10 Z is -O-, -S-, -NH- or -N(\mathbb{R}^{14})-;

 R^{11} is selected from -H, -OH, -NH₂, -NHR¹⁴, -N(R¹⁴)₂, -C₁-C₈ alkyl, -C₃-C₈ carbocycle, -O-(C₁-C₈ alkyl), -aryl, -C₁-C₈ alkyl-aryl, -C₁-C₈ alkyl-(C₃-C₈ carbocycle), -C₃-C₈ heterocycle and -C₁-C₈ alkyl-(C₃-C₈ heterocycle); or R¹¹ is an oxygen atom which forms a carbonyl unit (C=O) with the carbon atom to which it is attached and a hydrogen atom on this carbon atom is replaced by one of the bonds in the (C=O) double bond;

each R¹² is independently selected from -aryl and -C₃-C₈ heterocycle; R¹³ is selected from -H, -OH, -NH₂, -NHR¹⁴, -N(R¹⁴)₂, -C₁-C₈ alkyl, -C₃-C₈ carbocycle, -O-(C₁-C₈ alkyl), -aryl, -C₁-C₈ alkyl-aryl, -C₁-C₈ alkyl-(C₃-C₈ carbocycle), C₃-C₈ heterocycle and -C₁-₈ alkyl-(C₃-C₈ heterocycle); and

Each R¹⁴ is independently -H or -C₁-C₈ alkyl.

- 2. The compound of claim 1 wherein w is an integer ranging from 2 to 12.
- 3. A compound of the formula Ib:

$$L - \left(A_{\overline{a}} W_{\overline{w}} - Y_{\overline{y}} - D \right)_{p}$$
Ib

or a pharmaceutically acceptable salt or solvate thereof

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Z is -O-, -S-, -NH- or -N(\mathbb{R}^{14})-;

 R^{11} is selected from -H, -OH, -NH₂, -NHR¹⁴, -N(R¹⁴)₂, -C₁-C₈ alkyl, -C₃-C₈ carbocycle, -O-(C₁-C₈ alkyl), -aryl, -C₁-C₈ alkyl-aryl, -C₁-C₈ alkyl-(C₃-C₈ carbocycle), -C₃-C₈ heterocycle and -C₁-C₈ alkyl-(C₃-C₈ heterocycle); or R¹¹ is an oxygen atom which forms a carbonyl unit (C=O) with the carbon atom to which it is attached and a hydrogen atom on this carbon atom is replaced by one of the bonds in the (C=O) double bond;

each R¹² is independently selected from -aryl and -C₃-C₈ heterocycle;

R¹³ is selected from -H, -OH, -NH₂, -NHR¹⁴, -N(R¹⁴)₂, -C₁-C₈ alkyl, -C₃-C₈

carbocycle, -O-(C₁-C₈ alkoxy), -aryl, -C₁-C₈ alkyl-aryl, -C₁-C₈ alkyl-(C₃-C₈ carbocycle),

C₃-C₈ heterocycle and -C₁-C₈ alkyl-(C₃-C₈ heterocycle); and

each R¹⁴ is independently -H or -C₁-C₈ alkyl.

- 6. The compound of claim 5 wherein w is an integer ranging from 2 to 12.
- 7. A compound or a pharmaceutically acceptable salt or solvate of the compound of claim 1 where -D is a Drug unit having the structure

or a pharmaceutically acceptable salt or solvate thereof, wherein, independently at each location:

R² is selected from -H and -methyl;

R³ is selected from -H, -methyl, and -isopropyl;

 R^4 is selected from -H and -methyl; R^5 is selected from -isopropyl, -isobutyl, -sec-butyl, -methyl and -t-butyl or R^4 and R^5 join, have the formula – $(CR^aR^b)_n$ - where R^a and R^b are independently selected from -H, -C₁-C₈ alkyl, and -C₃-C₈ carbocycle, and n is

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selected from 2, 3, 4, 5 and 6, and form a ring with the carbon atom to which they are attached;

R⁶ is selected from -H and -methyl; each R⁸ is independently selected from -OH, -methoxy and -ethoxy; R¹⁰ is selected from

$$R^{24}O$$
 and H R_{26} $(Z)_n$ - R^{27} ;

 R^{24} is selected from H and -C(O) R^{25} -; wherein R^{25} is selected from -C₁-C₈ alkyl, -C₃-C₈ carbocycle, -aryl, -C₁-C₈ alkyl-aryl, -C₁-C₈ alkyl-(C₃-C₈ carbocycle), -C₃-C₈ heterocycle and -C₁-C₈ alkyl-(C₃-C₈ heterocycle);

Z is -O-, -NH-, -OC(O)-, -NHC(O)-, -NR 28 C(O)- ; where R 28 is selected from -H and -C₁-C₈ alkyl;

n is 0 or 1; and

 R^{27} is selected from -H, -N₃, -C₁-C₈ alkyl, -C₃-C₈ carbocycle, -aryl, -C₁-C₈ alkyl-aryl, -C₁-C₈ alkyl-(C₃-C₈ carbocycle), -C₃-C₈ heterocycle and -C₁-C₈ alkyl-(C₃-C₈ heterocycle) when n is 0; and R^{27} is selected from -H, -C₁-C₈ alkyl, -C₃-C₈ carbocycle, -aryl, -C₁-C₈ alkyl-aryl, -C₁-C₈ alkyl-(C₃-C₈ carbocycle), -C₃-C₈ heterocycle and -C₁-C₈ alkyl-(C₃-C₈ heterocycle) when n is 1.

8. A compound or a pharmaceutically acceptable salt or solvate of the compound of claim 1 where -D is a Drug unit having the structure

9. A compound or a pharmaceutically acceptable salt or solvate of the compound of claim 1 where -D is a Drug unit having the structure

or a pharmaceutically acceptable salt or solvate thereof.

90. A compound having the structure

or a pharmaceutically acceptable salt or solvate thereof.

91. A compound having the structure

or a pharmaceutically acceptable salt or solvate thereof.

- 92. The compound or a pharmaceutically acceptable salt or solvate thereof of any one of claims 1, 3, 5, 32, 34, 35, 36, 37, 38, 43 or 44, in an isolated or a purified form.
- 10 93. A compound having the structure

or a pharmaceutically acceptable salt or solvate thereof, where p ranges from about 7 to about 9.

94. A compound having the structure

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or a pharmaceutically acceptable salt or solvate thereof, where p ranges from about 7 to about 9

95. A compound having the structure

or a pharmaceutically acceptable salt or solvate thereof,

where p ranges from about 7 to about 9; and L is an anti-CD20 antibody.

- 96. The compound of claim 95 wherein L is rituximab.
- 97. A compound having the structure

or a pharmaceutically acceptable salt or solvate thereof,

where p ranges from about 7 to about 9; and L is an anti-CD40 antibody.

- 98. The compound of claim 97 wherein L is S2C6.
- 99. A compound having the structure

or a pharmaceutically acceptable salt or solvate thereof,

where p ranges from about 7 to about 9; and L is an anti-CD20 antibody.

- 100. The compound of claim 99 wherein L is rituximab.
- 5 101. A compound having the structure

or a pharmaceutically acceptable salt or solvate thereof,

where p ranges from about 7 to about 9; and L is an anti-CD40 antibody.

- 102. The compound of claim 101 wherein L is S2C6.
- 10 103. A compound having the structure

or a pharmaceutically acceptable salt or solvate thereof,

where p ranges from about 7 to about 9; and L is an anti-CD20 antibody.

- 104. The compound of claim 103 wherein L is rituximab.
- 105. A compound having the structure

or a pharmaceutically acceptable salt or solvate thereof,

- 5 where p ranges from about 7 to about 9; and L is an anti-CD40 antibody.
 - 106. The compound of claim 105 wherein L is S2C6.
 - 107. A compound having the structure

or a pharmaceutically acceptable salt or solvate thereof,

- where p ranges from about 7 to about 9; and L is an anti-CD20 antibody.
 - 108. The compound of claim 107 wherein L is rituximab.
 - 109. A compound having the structure

$$\begin{pmatrix} H_3C & CH_3 & H_3C & CH_3 \\ H_3C & CH_3 & OCH_3 \\ \end{pmatrix} \begin{pmatrix} CH_3 & CH_3 & CH_3 & OCH_3 & OCH_3 & OCH_3 & OCH_3 & OCH_3 & OCH_3 \\ \end{pmatrix} \begin{pmatrix} CH_3 & CH_3 & CH_3 & OCH_3 & OCH_3 & OCH_3 & OCH_3 & OCH_3 & OCH_3 \\ \end{pmatrix} \begin{pmatrix} CH_3 & CH_3 & CH_3 & OCH_3 & OCH_3 & OCH_3 & OCH_3 & OCH_3 & OCH_3 \\ \end{pmatrix} \begin{pmatrix} CH_3 & CH_3 & CH_3 & OCH_3 \\ \end{pmatrix} \begin{pmatrix} CH_3 & CH_3 & CH_3 & OCH_3 \\ \end{pmatrix} \begin{pmatrix} CH_3 & CH_3 & CH_3 & OCH_3 \\ \end{pmatrix} \begin{pmatrix} CH_3 & CH_3 & CH_3 & OCH_3 \\ \end{pmatrix} \begin{pmatrix} CH_3 & CH_3 & CH_3 & OCH_3 &$$

or a pharmaceutically acceptable salt or solvate thereof,

where p ranges from about 7 to about 9; and L is an anti-CD40 antibody.

- 110. The compound of claim 109 wherein L is S2C6.
- 111. A composition comprising an effective amount of a compound or a pharmaceutically acceptable salt or solvate thereof of any one of claims 77-91, 93-95, 97, 99, 101, 103, 105, 107 and 109 and a pharmaceutically acceptable carrier or vehicle.
 - 112. A method for killing or inhibiting the multiplication of a tumor cell or cancer cell comprising administering to an animal in need thereof a therapeutically effective amount of a compound or a pharmaceutically acceptable salt or solvate thereof of any one of claims 77-91, 93-95, 97, 99, 101, 103, 105, 107 and 109.
 - 113. A method for treating cancer, comprising administering to an animal in need thereof an effective amount of a compound or a pharmaceutically acceptable salt or solvate of the compound of any one of claims 77-91, 93-95, 97, 99, 101, 103, 105, 107 and 109.
- 114. A method for treating an autoimmune disease, comprising administering to an animal in need thereof an effective amount of a compound or a pharmaceutically acceptable salt or solvate of the compound of any one of claims 77-91, 93-95, 97, 99, 101, 103, 105, 107 and 109.
- animal in need thereof an effective amount of a compound or a pharmaceutically acceptable salt or solvate of the compound of any one of claims 77-91, 93-95, 97, 99, 101, 103, 105, 107 and 109.

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- 116. The method of claim 113 further comprising administering to the animal an effective amount of an anticancer agent.
- 117. The method of claim 114 further comprising administering to the animal an effective amount of an immunosuppressant agent.
- 118. The method of claim 115 further comprising administering to the animal an effective amount of an anti-infectious agent.
 - 119. The compound or a pharmaceutically acceptable salt or solvate thereof of any one of claims 77-91, 93-95, 97, 99, 101, 103, 105, 107 and 109, in an isolated or a purified form.